

10/26/00 JC952 U.S. PTO

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09/697233  
10/26/00

Customer No. 025181

Docket No. NMC-003.01

THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

Washington, D.C. 20231

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Date of Deposit October 26, 2000

I hereby certify that this transmittal letter and the papers referred to as being enclosed therein are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Signature

Robert King

Please Print Name of Person Signing

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Joseph T. Pych

For: Systems and Methods for Generating Highly Responsive Prospect Lists

Enclosed are:

- ☒ This is a request for filing a ☒ continuation-in-part ☐ divisional application under 37 CFR 1.53(b), of pending prior application serial no. 09/661,010 filed on September 13, 2000 entitled Method and System for Acquiring Prospect List Over a Computer Network; serial no. 09/662,364 filed on September 13, 200 entitled Method and System for Storing Prospect List in a Computer Database; serial no. 09/662,429 filed on September 13, 200 entitled Method and System for Distributing Prospect Lists Over a Computer Network; and serial no. 09/662,362 filed on September 13, 200 entitled Method and System for Enabling Privacy Control in a Prospect List Database.
- ☒ 17 pages of specification, 11 pages of claims, 1 page of abstract.
- ☒ 3 sheets of drawings.
- ☒ A Declaration, Petition and Power of Attorney.
- ☐ An assignment of the invention to \_\_\_\_\_ . A recordation form cover sheet (Form PTO 1595) is also enclosed.
- ☒ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 37 C.F.R. 1.27.
- ☒ Other Return postcard

The filing fee has been calculated as shown below:

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE	////////////////////	
TOTAL CLAIMS	58 - 20	= 38
INDEP. CLAIMS	7 - 3	= 4
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED		

\* If the difference in Col. 2 is less than zero, enter "0" in Col. 2.

SMALL ENTITY	
RATE	FEE
////////	\$ 355
x 9=	\$ 342
x 40	\$ 160
+135	\$
TOTAL	857

OTHER THAN SMALL ENTITY	
RATE	FEE
////////	\$ 710
x 18=	\$
x 80	\$
+270	\$
TOTAL	0

- ☐ Please charge my Deposit Account No. 06-1448 in the amount of \$.
- A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$ 857.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 06-1448.
- ☒ Any additional filing fees required under 37 C.F.R. 1.16.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 06-1448.
- ☐ Any patent application processing fees under 37 C.F.R. 1.17.
- ☐ The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).
- ☐ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the recording of assignment documents is also enclosed.
- ☒ Address all future communications (May only be completed by applicant, or attorney or agent of record) to David A. Lane, Jr. at **Customer Number: 025181** whose address is:

Foley, Hoag & Eliot LLP  
Patent Group  
One Post Office Square  
Boston, Massachusetts 02109

Date: October 26, 2000

Foley, Hoag & Eliot LLP  
Attorneys at Law

for By David A. Lane, Jr. Reg. No. 42,581  
Attorney for Applicants  
Reg. No. 39,261  
One Post Office Square  
Boston, MA 02109  
Telephone: (617) 832-1000  
Telecopier (617) 832-7000

**VERIFIED STATEMENT CONCERNING SMALL ENTITY STATUS**  
**(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

Packet Number (Optional)  
NMC-003.01

Applicant or Patentee: Joseph T. Pych  
Serial or Patent No.: Not Assigned  
Filed or Issued: Herewith

Title: Systems and Methods for Generating Highly Responsive Prospect Lists

I hereby declare that I am

- ☒ (X) the owner of the small business concern identified below:  
☐ ( ) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Nextmark.com  
ADDRESS OF SMALL BUSINESS CONCERN 2 Buck Road, Suite 8  
Hanover, NH 03755

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ (X) the specification filed herewith with title as listed above.  
☐ ( ) the application identified above.  
☐ ( ) the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern with would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ (x) no such person, concern, or organization exists.  
☐ ( ) each such person, concern or organization is listed below.

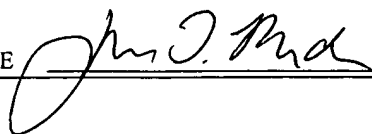
Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Joseph T. Pych  
TITLE OF PERSON IF OTHER THAN OWNER President  
ADDRESS OF PERSON SIGNING 2 Buck Road, Suite 8  
Hanover, NH 03755

SIGNATURE



DATE

10/23/00